

PARSING

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CS159 – Spring 2011

some slides adapted from
Ray Mooney

Admin

- Updated slides/examples on backoff with absolute discounting (I'll review them again here today)
- Assignment 2
- Watson vs. Humans (tonight-Wednesday)

Backoff models: absolute discounting

$$P_{\text{absolute}}(z \mid xy) = \begin{cases} \frac{C(xyz) - D}{C(xy)} & \text{if } C(xyz) > 0 \\ \alpha(xy) P_{\text{absolute}}(z \mid y) & \text{otherwise} \end{cases}$$

- Subtract some absolute number from each of the counts (e.g. 0.75)
 - ▣ will have a large effect on low counts
 - ▣ will have a small effect on large counts

Backoff models: absolute discounting

$$P_{\text{absolute}}(z \mid xy) = \begin{cases} \frac{C(xyz) - D}{C(xy)} & \text{if } C(xyz) > 0 \\ \alpha(xy) P_{\text{absolute}}(z \mid y) & \text{otherwise} \end{cases}$$

What is $\alpha(xy)$?

Backoff models: absolute discounting

see the dog	1	the Dow Jones	10
see the cat	2	the Dow rose	5
see the banana	4	the Dow fell	5
see the man	1		
see the woman	1		
see the car	1		

$p(\text{cat} \mid \text{see the}) = ?$
 $p(\text{puppy} \mid \text{see the}) = ?$

$p(\text{rose} \mid \text{the Dow}) = ?$
 $p(\text{jumped} \mid \text{the Dow}) = ?$

$$P_{\text{absolute}}(z \mid xy) = \begin{cases} \frac{C(xyz) - D}{C(xy)} & \text{if } C(xyz) > 0 \\ \alpha(xy)P_{\text{absolute}}(z \mid y) & \text{otherwise} \end{cases}$$

Backoff models: absolute discounting

see the dog	1	$p(\text{cat} \mid \text{see the}) = ?$ $\frac{2 - D}{10} = \frac{2 - 0.75}{10} = .125$
see the cat	2	
see the banana	4	
see the man	1	
see the woman	1	
see the car	1	

$$P_{\text{absolute}}(z \mid xy) = \begin{cases} \frac{C(xyz) - D}{C(xy)} & \text{if } C(xyz) > 0 \\ \alpha(xy)P_{\text{absolute}}(z \mid y) & \text{otherwise} \end{cases}$$

Backoff models: absolute discounting

see the dog	1	$p(\text{puppy} \mid \text{see the}) = ?$ $\alpha(\text{see the}) = ?$ How much probability mass did we reserve/discount for the bigram model?
see the cat	2	
see the banana	4	
see the man	1	
see the woman	1	
see the car	1	

$$P_{\text{absolute}}(z \mid xy) = \begin{cases} \frac{C(xyz) - D}{C(xy)} & \text{if } C(xyz) > 0 \\ \alpha(xy)P_{\text{absolute}}(z \mid y) & \text{otherwise} \end{cases}$$

Backoff models: absolute discounting

see the dog	1	$p(\text{puppy} \mid \text{see the}) = ?$ $\alpha(\text{see the}) = ?$ $\frac{\# \text{ of types starting with "see the"} * D}{\text{count("see the")}}$ For each of the unique trigrams, we subtracted D/count("see the") from the probability distribution
see the cat	2	
see the banana	4	
see the man	1	
see the woman	1	
see the car	1	

$$P_{\text{absolute}}(z \mid xy) = \begin{cases} \frac{C(xyz) - D}{C(xy)} & \text{if } C(xyz) > 0 \\ \alpha(xy)P_{\text{absolute}}(z \mid y) & \text{otherwise} \end{cases}$$

Backoff models: absolute discounting

see the dog	1	$p(\text{puppy} \mid \text{see the}) = ?$
see the cat	2	$\alpha(\text{see the}) = ?$
see the banana	4	
see the man	1	
see the woman	1	
see the car	1	

$\frac{\# \text{ of types starting with "see the"} * D}{\text{count("see the")}}$

$\text{reserved_mass}(\text{see the}) = \frac{6 * D}{10} = \frac{6 * 0.75}{10} = 0.45$

$P_{\text{absolut}}(z \mid xy) = \begin{cases} \frac{C(xy) - D}{C(xy)} & \text{if } C(xy) > 0 \\ \alpha(xy) P_{\text{absolut}}(z \mid y) & \text{otherwise} \end{cases}$

distribute this probability mass to all bigrams that we backed off to

Calculating α

- We have some number of bigrams we're going to backoff to, i.e. those X where $C(\text{see the } X) = 0$, that is unseen trigrams starting with "see the"
- When we backoff, for each of these, we'll be including their probability in the model: $P(X \mid \text{the})$
- α is the normalizing constant so that the sum of these probabilities equals the reserved probability mass

$$\sum_{X: C(\text{see the } X) = 0} p(X \mid \text{the}) = \text{reserved_mass}(\text{see the})$$

Calculating α

- We can calculate α two ways
 - Based on those we haven't seen:

$$\alpha(\text{see the}) = \frac{\text{reserved_mass}(\text{see the})}{\sum_{X: C(\text{see the } X) = 0} p(X \mid \text{the})}$$
 - Or, more often, based on those we do see:

$$\alpha(\text{see the}) = \frac{\text{reserved_mass}(\text{see the})}{1 - \sum_{X: C(\text{see the } X) > 0} p(X \mid \text{the})}$$

Calculating α in general: trigrams

- Calculate the reserved mass

$$\text{reserved_mass}(\text{bigram}) = \frac{\# \text{ of types starting with bigram} * D}{\text{count}(\text{bigram})}$$
- Calculate the sum of the backed off probability. For bigram "A B":

$$1 - \sum_{X: C(\text{A B } X) > 0} p(X \mid \text{B}) \quad \text{either is fine in practice, the left is easier} \quad \sum_{X: C(\text{A B } X) = 0} p(X \mid \text{B})$$
- Calculate α

$$\alpha(\text{A B}) = \frac{\text{reserved_mass}(\text{A B})}{1 - \sum_{X: C(\text{A B } X) > 0} p(X \mid \text{B})}$$

1 - the sum of the bigram probabilities of those trigrams that we saw starting with bigram A B

Calculating α in general: bigrams

- Calculate the reserved mass

$$\text{reserved_mass}(\text{unigram}) = \frac{\text{\# of types starting with unigram} * D}{\text{count}(\text{unigram})}$$

- Calculate the sum of the backed off probability. For bigram "A B":

$$1 - \sum_{X:C(A X) > 0} p(X) \quad \text{either is fine in practice, the left is easier} \quad \sum_{X:C(A X) = 0} p(X)$$

- Calculate α

$$\alpha(A) = \frac{\text{reserved_mass}(A)}{1 - \sum_{X:C(A X) > 0} p(X)}$$

← 1 - the sum of the unigram probabilities of those bigrams that we saw starting with word A

Calculating backoff models in practice

- Store the α s in another table
 - If it's a trigram backed off to a bigram, it's a table keyed by the bigrams
 - If it's a bigram backed off to a unigram, it's a table keyed by the unigrams
- Compute the α s during training
 - After calculating all of the probabilities of seen unigrams/bigrams/trigrams
 - Go back through and calculate the α s (you should have all of the information you need)
- During testing, it should then be easy to apply the backoff model with the α s pre-calculated

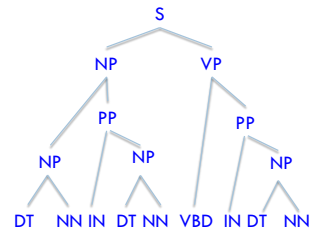
Backoff models: absolute discounting

$$\text{reserved_mass} = \frac{\text{\# of types starting with bigram} * D}{\text{count}(\text{bigram})}$$

- Two nice attributes:
 - decreases if we've seen more bigrams
 - should be more confident that the unseen trigram is no good
 - increases if the bigram tends to be followed by lots of other words
 - will be more likely to see an unseen trigram

Syntactic structure

(S (NP (NP (DT the) (NN man)) (PP (IN in) (NP (DT the) (NN hat)))) (VP (VBD ran) (PP (TO to) (NP (DT the) (NN park))))))



The man in the hat ran to the park.

CFG: Example

- Many possible CFGs for English, here is an example (fragment):
 - $S \rightarrow NP VP$
 - $VP \rightarrow V NP$
 - $NP \rightarrow DetP N \mid AdjP NP$
 - $AdjP \rightarrow Adj \mid Adv AdjP$
 - $N \rightarrow \text{boy} \mid \text{girl}$
 - $V \rightarrow \text{sees} \mid \text{likes}$
 - $Adj \rightarrow \text{big} \mid \text{small}$
 - $Adv \rightarrow \text{very}$
 - $DetP \rightarrow a \mid \text{the}$

Grammar questions

- Can we determine if a sentence is grammatical?
- Given a sentence, can we determine the syntactic structure?
- Can we determine how likely a sentence is to be grammatical? to be an English sentence?
- Can we generate candidate, grammatical sentences?

Parsing

- Parsing is the field of NLP interested in automatically determining the syntactic structure of a sentence
- parsing can also be thought of as determining what sentences are “valid” English sentences

Parsing

- Given a CFG and a sentence, determine the possible parse tree(s)

I eat sushi with tuna

What parse trees are possible for this sentence?
How did you figure it out?

```

S -> NP VP
NP -> PRP
NP -> N PP
NP -> N
VP -> V NP
VP -> V NP PP
PP -> IN N
PRP -> I
V -> eat
N -> sushi
N -> tuna
IN -> with
  
```

Parsing

Parse tree 1: S branches to NP (PRP: I) and VP (V: eat, NP: N: sushi, PP: IN: with, N: tuna).

Parse tree 2: S branches to NP (PRP: I), VP (V: eat, NP: N: sushi, PP: IN: with, N: tuna), and another NP (N: tuna).

S -> NP VP
 NP -> PRP
 NP -> N PP
 VP -> V NP
 VP -> V NP PP
 PP -> IN N
 PRP -> I
 V -> eat
 N -> sushi
 N -> tuna
 IN -> with

What is the difference between these parses?

Parsing

□ Given a CFG and a sentence, determine the possible parse tree(s)

S -> NP VP
 NP -> PRP
 NP -> N PP
 VP -> V NP
 VP -> V NP PP
 PP -> IN N
 PRP -> I
 V -> eat
 N -> sushi
 N -> tuna
 IN -> with

I eat sushi with tuna

approaches?
algorithms?

Parsing

- Top-down parsing
 - start at the top (usually S) and apply rules
 - matching left-hand sides and replacing with right-hand sides

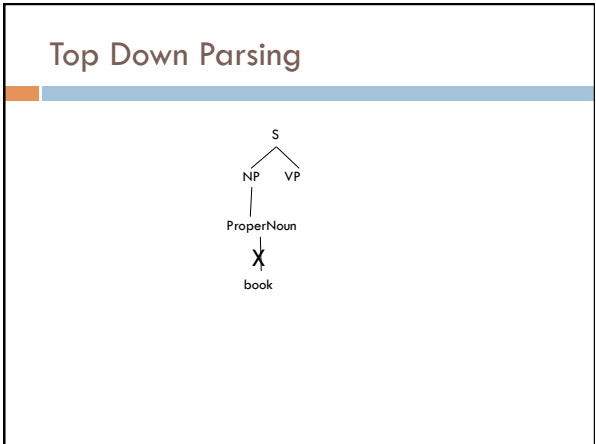
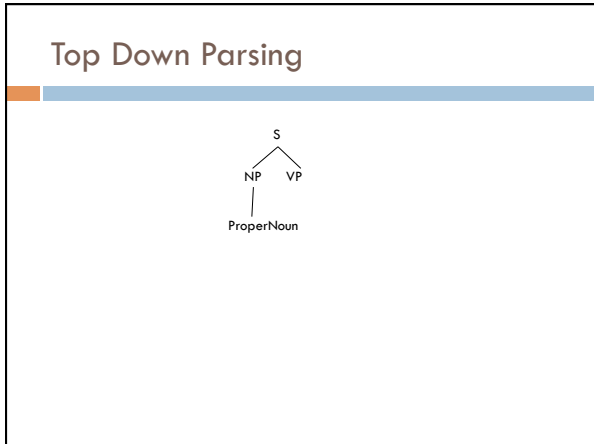
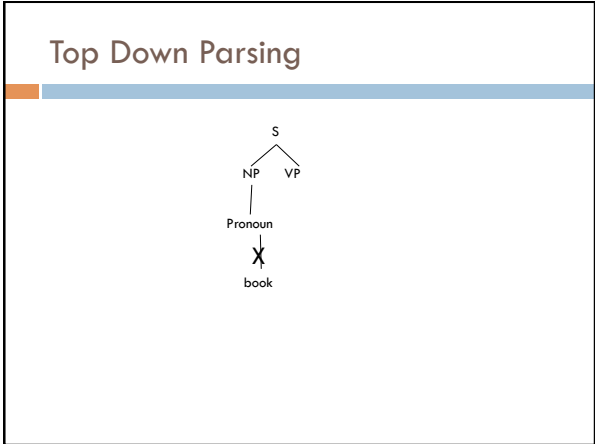
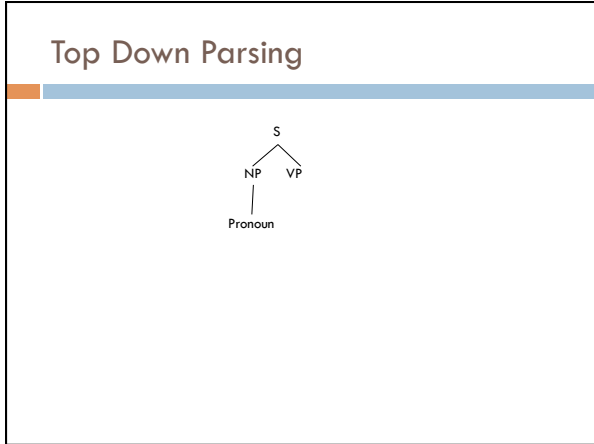
- Bottom-up parsing
 - start at the bottom (i.e. words) and build the parse tree up from there
 - matching right-hand sides and replacing with left-hand sides

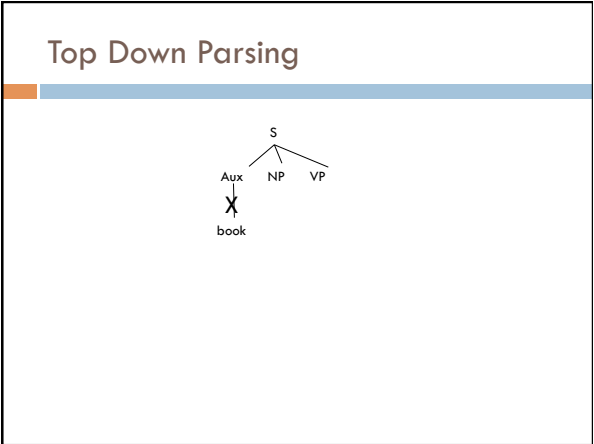
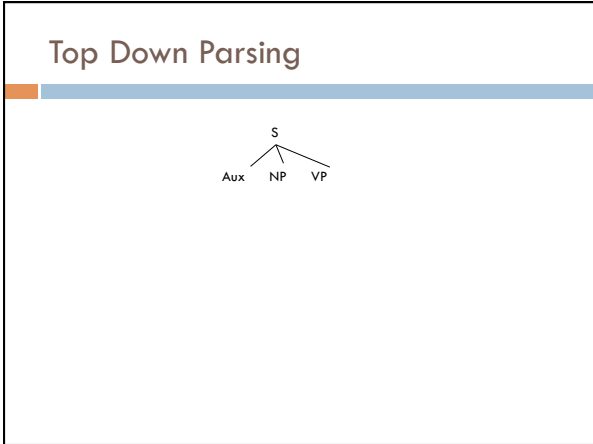
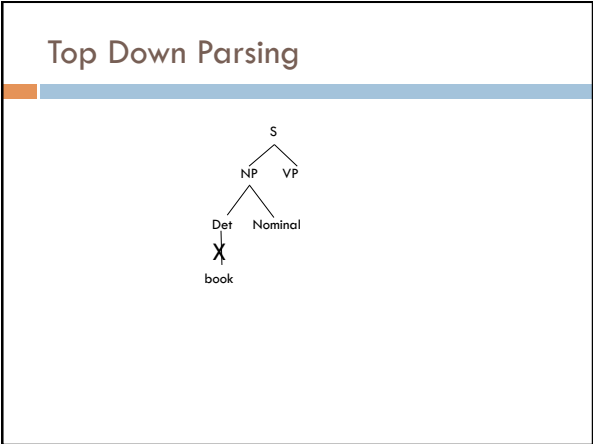
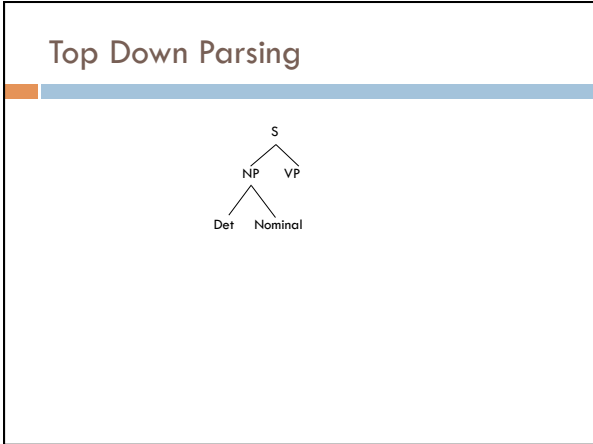
Parsing Example

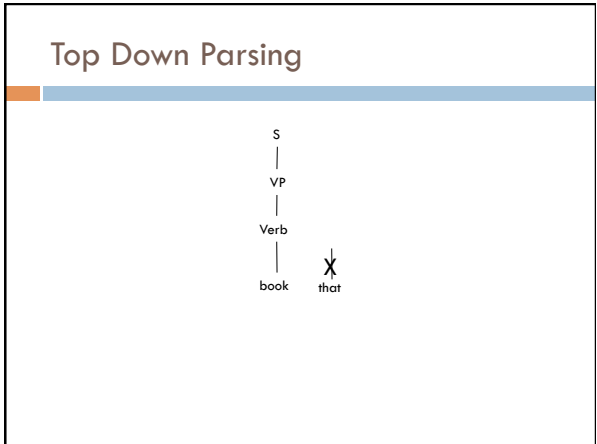
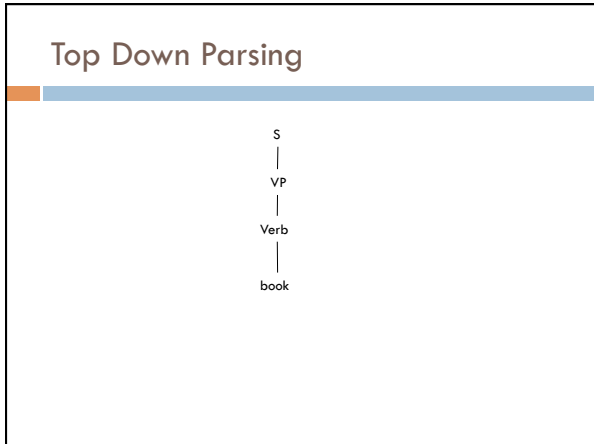
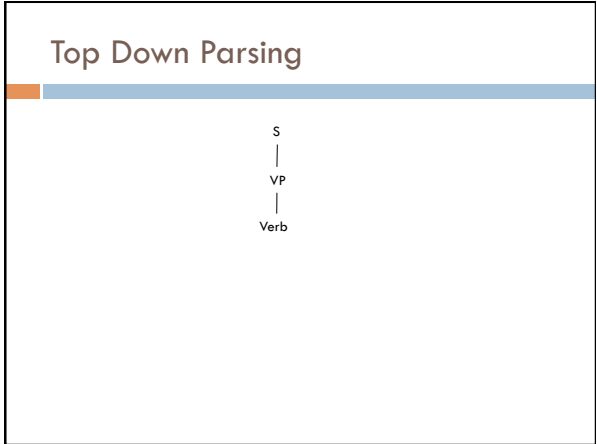
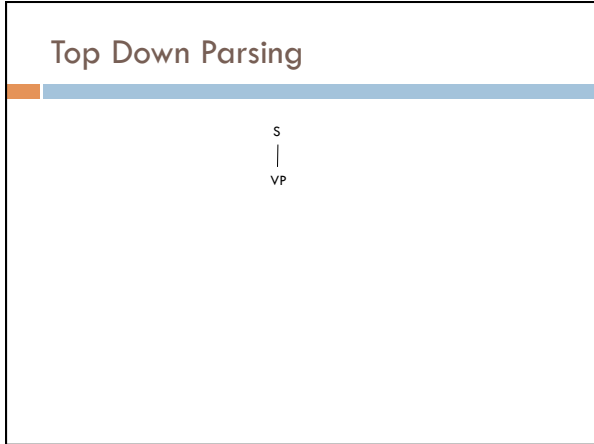
book that flight

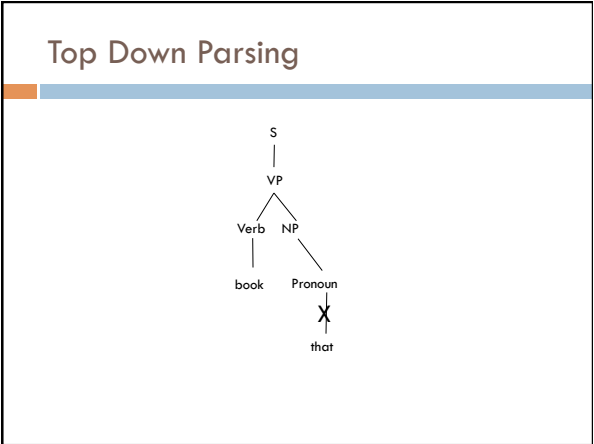
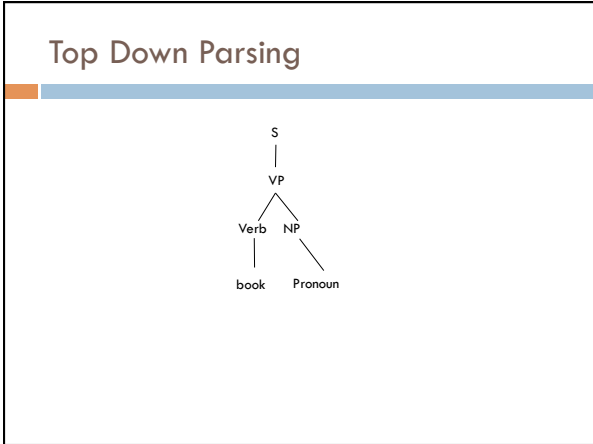
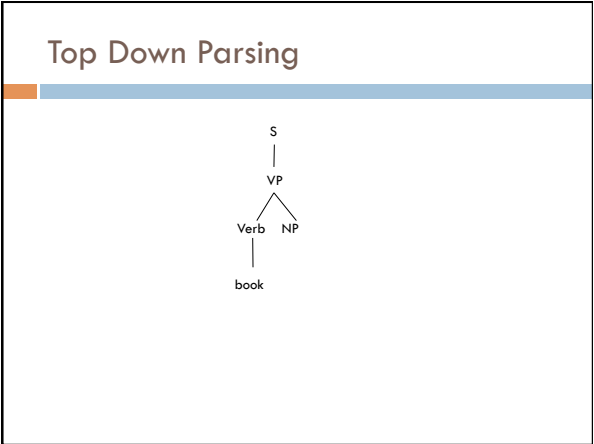
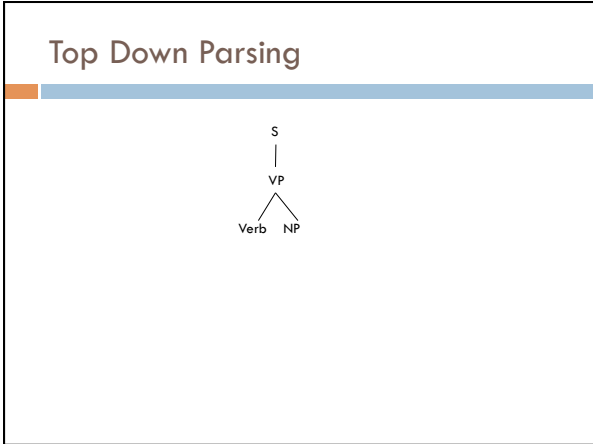
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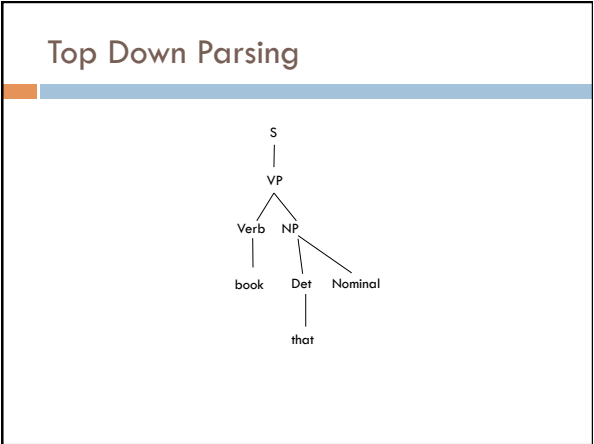
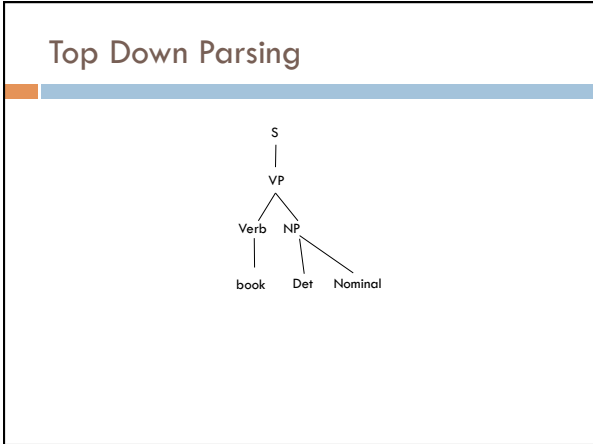
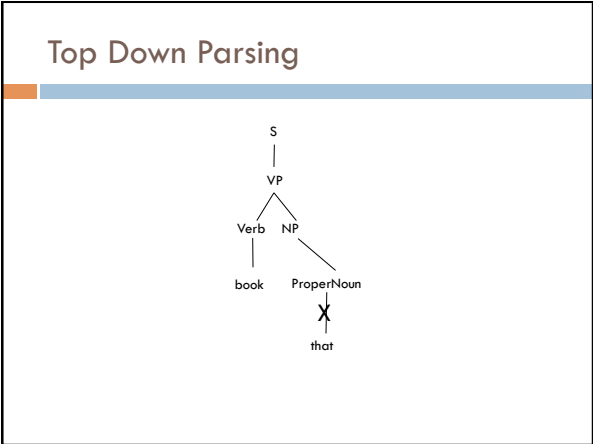
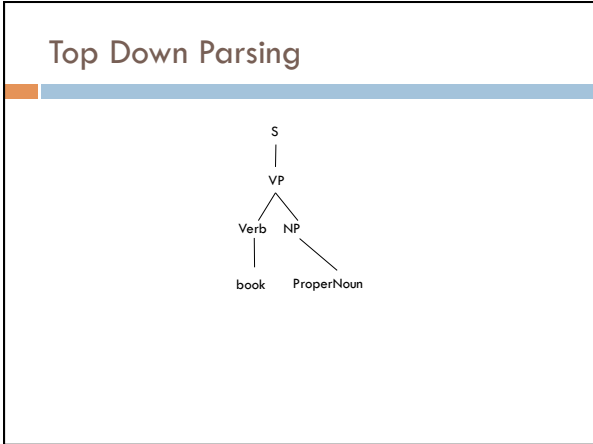
The parse tree shows S deriving VP, which then derives Verb (book), NP (Det: that, Nominal: Noun: flight).



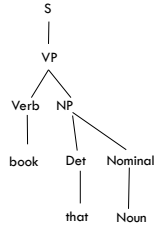




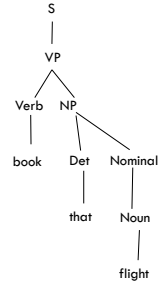




Top Down Parsing



Top Down Parsing

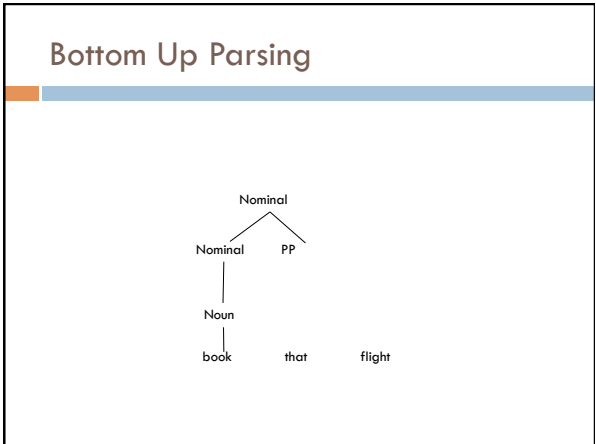
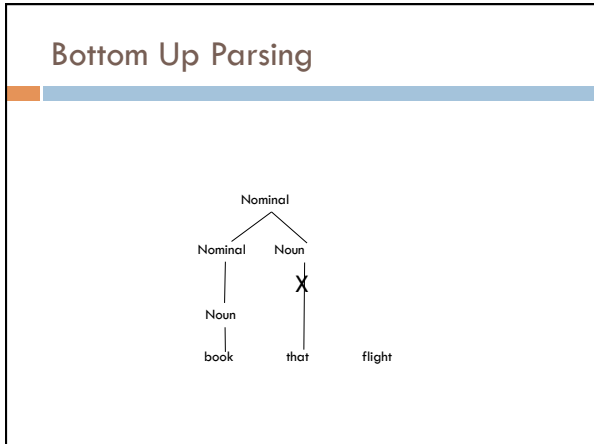
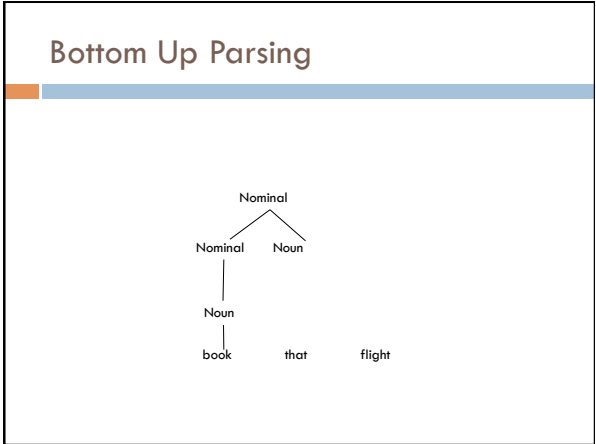
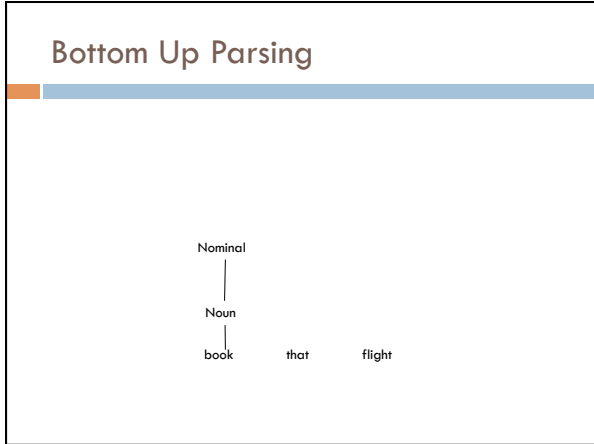


Bottom Up Parsing

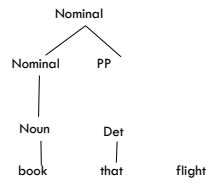
book that flight

Bottom Up Parsing

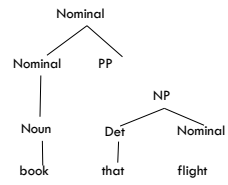




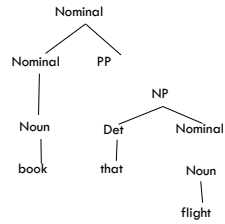
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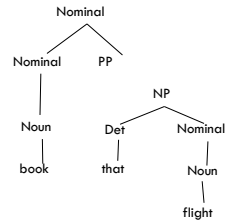
Bottom Up Parsing



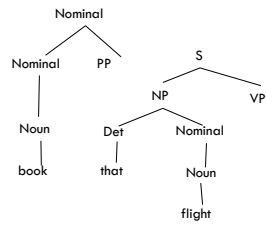
Bottom Up Parsing



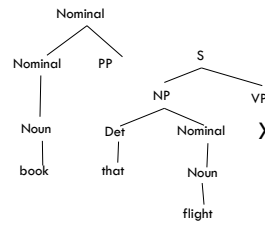
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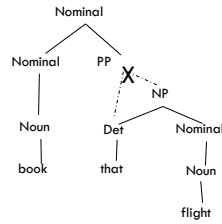
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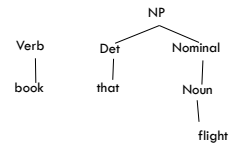
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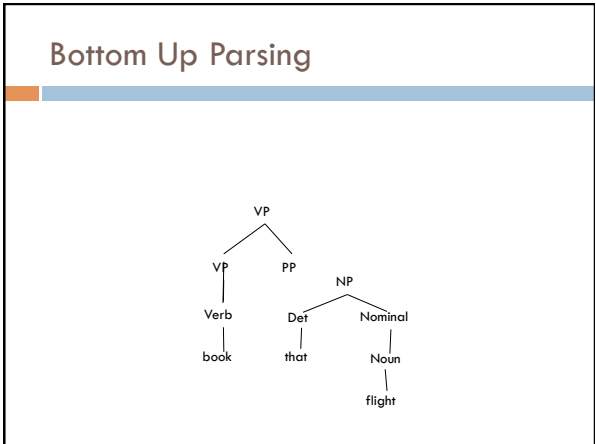
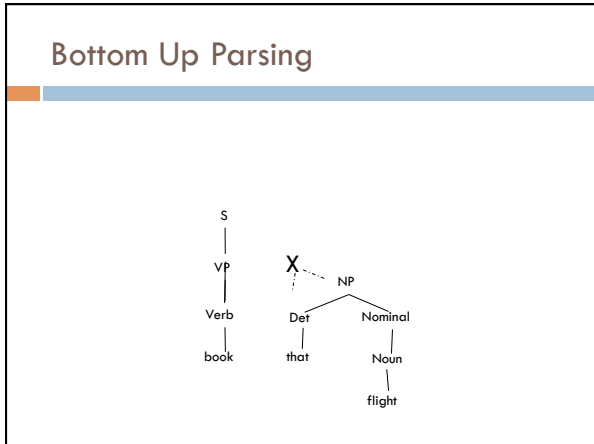
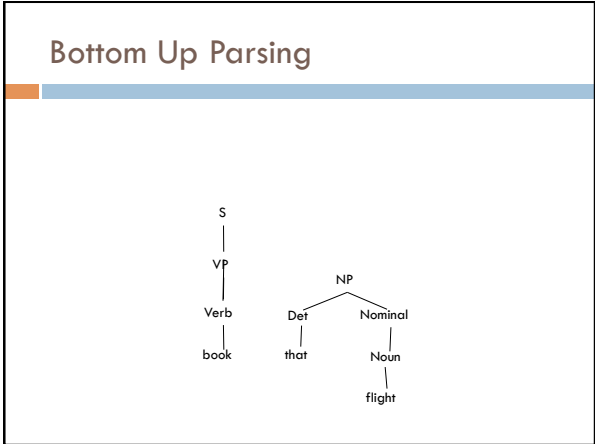
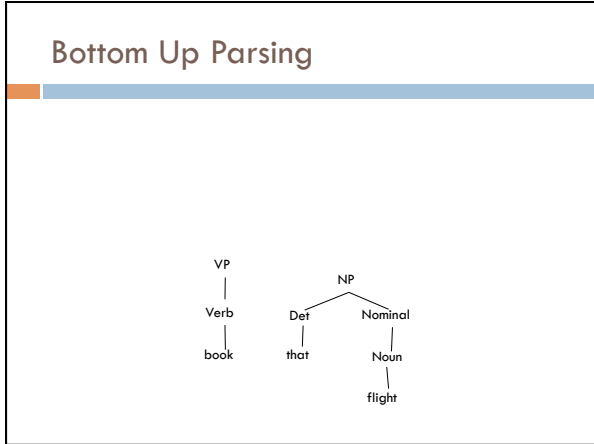


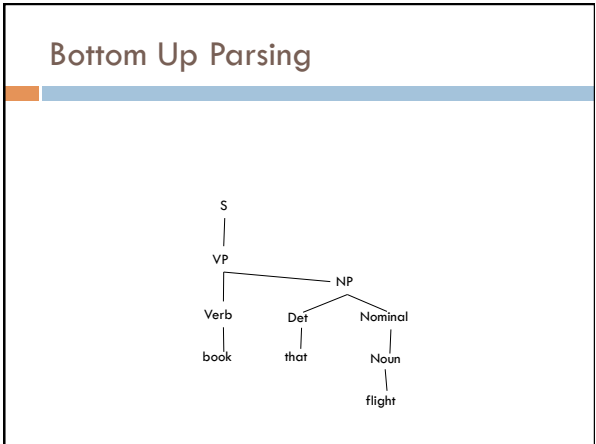
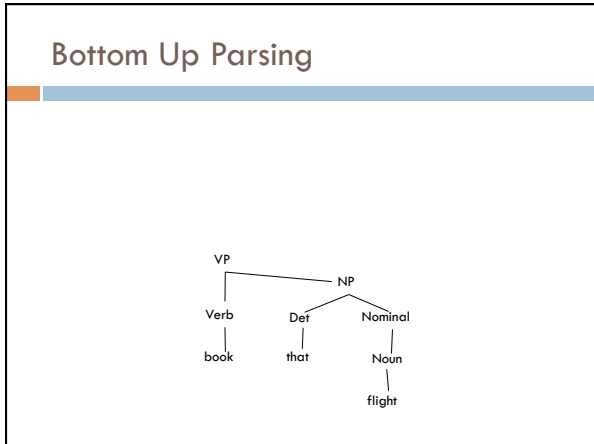
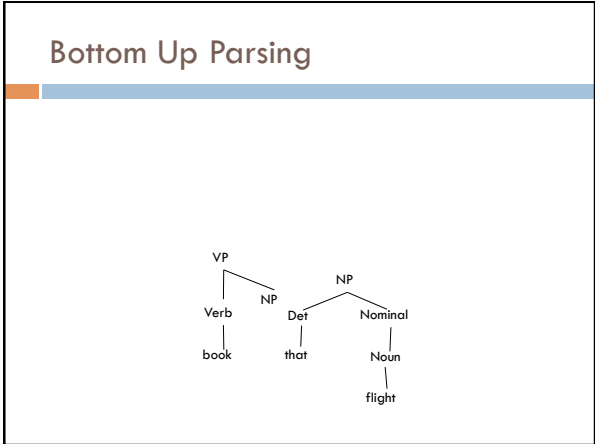
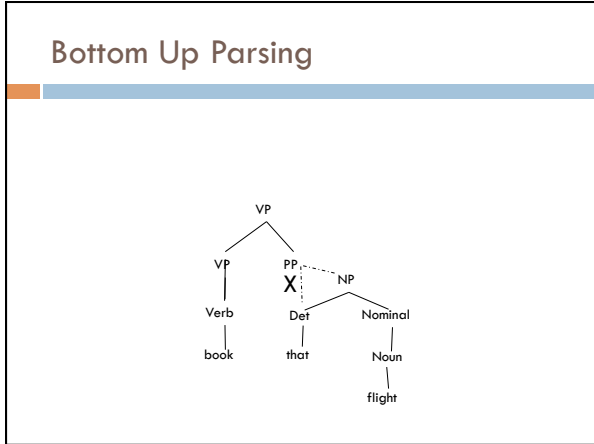
Bottom Up Parsing



Bottom Up Parsing







Parsing

- **Pros/Cons?**
 - **Top-down:**
 - Only examines parses that could be valid parses (i.e. with an S on top)
 - Doesn't take into account the actual words!
 - **Bottom-up:**
 - Only examines structures that have the actual words as the leaves
 - Examines sub-parses that may not result in a valid parse!

Why is parsing hard?

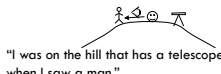
- Actual grammars are large
- Lots of ambiguity!
 - Most sentences have many parses
 - Some sentences have a lot of parses
 - Even for sentences that are not ambiguous, there is often ambiguity for subtrees (i.e. multiple ways to parse a phrase)

Why is parsing hard?


I saw the man on the hill with the telescope

What are some interpretations?

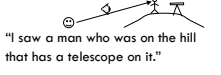
Structural Ambiguity Can Give Exponential Parses



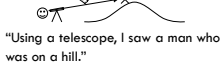
"I was on the hill that has a telescope when I saw a man."



"I saw a man who was on a hill and who had a telescope."

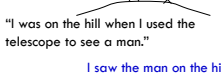


"I saw a man who was on the hill that has a telescope on it."



"Using a telescope, I saw a man who was on a hill."

...



"I was on the hill when I used the telescope to see a man."

I saw the man on the hill with the telescope

⊙ Me → See ↗ A man ↖ The telescope ↘ The hill

Dynamic Programming Parsing

- To avoid extensive repeated work you must cache intermediate results, specifically found constituents
- Caching (memoizing) is critical to obtaining a polynomial time parsing (recognition) algorithm for CFGs
- Dynamic programming algorithms based on both top-down and bottom-up search can achieve $O(n^3)$ recognition time where n is the length of the input string.

Dynamic Programming Parsing Methods

- **CKY** (Cocke-Kasami-Younger) algorithm based on bottom-up parsing and requires first normalizing the grammar.
- **Earley parser** is based on top-down parsing and does not require normalizing grammar but is more complex.
- These both fall under the general category of **chart parsers** which retain completed constituents in a chart

CKY

- First grammar must be converted to **Chomsky normal form (CNF)** in which productions must have either exactly 2 non-terminal symbols on the RHS or 1 terminal symbol (lexicon rules).
- Parse bottom-up storing phrases formed from all substrings in a triangular table (chart)

CNF Grammar

```
S -> VP
VP -> VB NP
VP -> VB NP PP
NP -> DT NN
NP -> NN
NP -> NP PP
PP -> IN NP
DT -> the
IN -> with
VB -> film
VB -> trust
NN -> man
NN -> film
NN -> trust
```

```
S -> VP
VP -> VB NP
VP -> VP2 PP
VP2 -> VB NP
NP -> DT NN
NP -> NN
NP -> NP PP
PP -> IN NP
DT -> the
IN -> with
VB -> film
VB -> trust
NN -> man
NN -> film
NN -> trust
```